

CONFIDENTIAL



**Sysco SUSTAINABLE/
INTEGRATED PEST MANAGEMENT INITIATIVE**

**Improving Stewardship in
Canned and Frozen Fruit and Vegetable Production**

**Sysco SUSTAINABLE/IPM AUDIT v09.02
FOR SUPPLIERS PARTICIPATING IN THE POTATO
SUSTAINABILITY INITIATIVE ONLY**

Revised 08/04/2016

Prepared for Sysco by:

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Audit Information

Auditor Name, Title, Company _____

Start Date and Time mm/dd/yyyy hh:mm am/pm _____

End Date and Time mm/dd/yyyy hh:mm am/pm _____

Foreman or Contact Person (and email) _____

City _____

State/Province _____

Country _____

Audit Summary _____

Crop:

Potato

Number of years supplier has produced this crop for Sysco: _____

Total number of acres of this crop produced: _____

States/country where grown: _____

Crop represents what percent of production for Sysco: _____

Entities

Supplier to Sysco

Name: _____

Street Address: _____

City,State,Zip: _____

Processing Plant (If different from above.)

Name: _____

Street Address: _____

City,State,Zip: _____

Sub-Supplier Potato Sustainability Initiative Audit Results

Average Sub-Supplier PSI Survey Score (maximum point value of 1425) for this processing location: _____

Categories

Minimum standards

2.02 Genetically modified fruit and vegetable crops are not grown, unless permission has been requested by the supplier and granted in writing by Sysco Quality Assurance management. The use of genetic engineering with respect to certain raw materials including corn, soybeans and rice is widespread, and these products are accepted by Sysco Corporation. Products distributed by Sysco comply with all applicable federal and state laws and labeling regulations regarding genetically engineered food products.

Pass Fail

Memo: _____

2.02 *Verify* Auditor viewed the following documents:
Supplier written policy statement
Supplier written requirement to sub-suppliers
Seed supplier(s) written certification
Seed purchase records for randomly selected locations
Supplier product testing records for GMO content, if any

2.03-a1 Has the SUPPLIER been cited for violations since the previous audit or within the last two years if they are a new supplier? If yes, explain in detail including current status in memo. (Informational only, does not affect score.)

Yes No

Memo: _____

2.03-a1 *Verify* SUPPLIER violations may pertain to:
Air pollution
Wastewater disposal
Water contamination
Noise complaints
Waste disposal
Employee welfare and safety
Other (describe)
None

Scored General Standards

3.01-a1 A map or list identifies environmentally sensitive areas at the PROCESSING PLANT.

Possible Score 10

Points Earned _____

Memo: _____

3.01-a1 *Verify* FOR FULL POINTS, ALL environmentally sensitive areas at or immediately adjacent to the PROCESSING PLANT must be identified. Specify sensitive areas identified at the PROCESSING PLANT:

- Aquifers
- Surface water bodies
- Wetlands
- Wellheads
- Endangered/threatened species habitat
- Chemical storage
- Storm drains
- Fuel tanks
- On site dwellings
- Pollinator habitat
- Other (describe)
- None

3.01-c1 Adequate measures are in place to protect sensitive areas at the PROCESSING PLANT.

Possible Score 20

Points Earned _____

Memo: _____

3.01-c1 *Verify* Measures at the PROCESSING PLANT to protect sensitive areas include:

- Undeveloped reserves Filter strips
- Signage
- Fencing
- Buffers, details (e.g. size)
- Invasive plant removal
- Locked areas
- Adequate containment
- Other (describe)

3.01-d Sensitive areas at the PROCESSING PLANT are visually monitored at least annually. Status and corrective actions taken are recorded in writing. Note frequency of monitoring in memo.

Possible Score 10

Points Earned _____

Memo: _____

3.01-e Quantitative measures of the quality of sensitive areas at the PROCESSING PLANT are made and recorded, and improved over time.

Possible Score 10

Points Earned _____

Memo: _____

3.01-e *Verify* Identify quantitative measures:

- Species present
- Water quality measures
- Incoming irrigation or processing water testing
- Outgoing runoff or wastewater testing
- Other (describe)

3.03-a1 SUPPLIER has emergency contact information and staff procedures readily available in the event of possible emergencies.

Possible Score 20

Points Earned _____

Memo: _____

3.03-b1 SUPPLIER emergency management plans are written and readily accessible.

Possible Score 20

Points Earned _____

Memo: _____

3.03-b1 *Verify* FOR FULL POINTS, SUPPLIER emergency management plans must have at least the following elements:

- Potential emergencies and response Staff roles and responsibilities
- Training protocol for staff
- Resources for control/contain/cleanup
- Emergency contacts
- Other (describe)

3.03-c1 SUPPLIER emergency management plans address potential emergencies.

Possible Score 10

Points Earned _____

Memo: _____

3.03-c1 *Verify* FOR FULL POINTS, SUPPLIER emergency management plans must address at least the first four emergencies below:

- Fuel spills
- Chemical spills
- Worker exposure
- Fire
- Other (describe)

3.03-d SUPPLIER or SUB-SUPPLIERS have experienced an environmental emergency since the previous audit or within three years if new supplier. If yes, describe the emergency and response in memo. (Informational only, does not affect score.)

Possible Score 0
Yes No

Memo: _____

3.04-d Have SUPPLIER or SUB-SUPPLIERS been cited for off-target application of agrochemicals since the previous audit, or within the last three years if a new supplier? If yes, describe situation and response in memo. (Informational only, does not affect score.)

Possible Score 0
Yes No

Memo: _____

3.05-a1 Visibly eroded areas around PROCESSING PLANT sites are not present or extremely limited in size and number with corrective measures in place.

Possible Score 20
Points Earned _____

Memo: _____

3.05-b1 Protective measures are in place at the PROCESSING PLANT where the threat of soil erosion exists from wind or water.

Possible Score 20
Points Earned _____

Memo: _____

3.05-b1 *Verify* Protective measures at the PROCESSING PLANT include:

- Terraces
- Mulches
- Manage drainage Buffer or filter strips
- Established vegetation
- Other (describe)

3.07-a1 SUPPLIER does not burn trash.

Possible Score 20
Points Earned _____

Memo: _____

3.07-a1 *Verify* SUPPLIER verification:

- Burn piles are not used to dispose of debris (garbage, broken pallets) at processing plants or production sites
- Burning vegetation is limited to crops where it is an accepted Best Management Practice (BMP), e.g., Extension-recommended burning of infected plant material to reduce disease inoculum. Describe BMP in memo.

3.08-a SUPPLIER has formed a multidisciplinary IPM advisory team. (Only one team is required per supplier regardless of the number of crops produced.)

Possible Score 50
 Points Earned _____

Memo: _____

3.08-a *Verify* FOR FULL POINTS, team must include the following:

- Insect pest management expertise
- Disease management expertise
- Weed management expertise
- Agronomy/horticulture expertise
- Representative from Extension, NRCS, Soil & Water Conservation District (optional)

3.08-b Sustainable Ag/IPM team meets at least annually to review performance and identify and plan improvements. Note meeting frequency and date of last meeting in memo.

Possible Score 50
 Points Earned _____

Memo: _____

3.09-a SUPPLIER implements measures to improve PROCESSING WATER use efficiency.

Possible Score 20
 Points Earned _____

Memo: _____

3.09-a *Verify* Implemented measures may include:

- Upgrading processing equipment (describe)
- Water reuse
- Water per unit of production
- Monitor established water budget for plant
- Low flow nozzles
- Other (describe)

3.09-c1 SUPPLIER measures and reports performance, e.g., processing water use per unit of production. Describe in memo.

Possible Score 10

Points Earned _____

Memo: _____

3.10-a1. SUPPLIER implements measures to reduce PROCESSING PLANT energy use.

Possible Score 20

Points Earned _____

Memo: _____

3.10-a1 *Verify* Implemented measures may include:

- Improving energy efficiency of processing equipment
- Undergoing an energy use audit and implementing recommendations
- Electrical submetering to track energy use by areas of a facility
- Other (describe)

3.10-b1 SUPPLIER implements measures to reduce PROCESSING PLANT use of non-renewable energy.

Possible Score 20

Points Earned _____

Memo: _____

3.10-b1 *Verify* Implemented measures may include:

- Transition to bio-diesel
- Transition to ethanol
- Transition to wind energy
- Transition to solar energy
- Purchase of wind/solar energy
- Carbon credit
- NOx credit
- Other (describe)

3.10-c1 SUPPLIER measures and report performance, e.g., processing plant fuel use per unit of production or transition to renewable fuels. Describe in memo.

Possible Score 5

Points Earned _____

Memo: _____

3.11-a1 SUPPLIER reuses resources onsite.

Possible Score 20

Points Earned _____

Memo: _____

3.11-a1 *Verify* FOR FULL POINTS, SUPPLIER should reuse all resources available for reuse. These may include:

Processing waste is land-spread or fed to animals

Processing water is reused for irrigation

Other (describe)

3.11-b1 SUPPLIER measures and records performance, e.g., types/amounts of on-site reuse of renewables. Describe in memo.

Possible Score 5

Points Earned _____

Memo: _____

3.12-a1 SUPPLIER recycles materials.

Possible Score 20

Points Earned _____

Memo: _____

3.12-a1 *Verify* FOR FULL POINTS, SUPPLIER should recycle all recyclable resources. These may include:

Glass Metal Paper Plastics

Batteries

Computers

Pesticide containers

Other (describe)

3.12-b1 SUPPLIER measures and records performance, e.g., types/amounts recycled. Describe in memo.

Possible Score 5

Points Earned _____

Memo: _____

3.12-c1 SUPPLIER purchases product with recycled content and/or reduces waste.

Possible Score 10

Points Earned _____

Memo: _____

3.12-c1 *Verify* Implemented purchases may include:

- Recycled card board
- Recycled office paper
- Recycled pallets
- Recycled building supplies
- Other

3.13-b Has SUPPLIER been involved in significant disputes with customers, trade partners or employees (e.g., strikes, litigation) since the previous audit or within the previous three years if a new supplier? If yes, describe in memo. (Informational only, does not affect score.)

Possible Score 0

Yes No

Memo: _____

3.13-c Are any significant disputes open or unresolved? If yes, please explain in memo. (Informational only, does not affect score.)

Possible Score 0

Yes No

Memo: _____

3.14-a1 SUPPLIER provides opportunities for employee advancement.

Possible Score 20

Points Earned _____

Memo: _____

3.14-a1 *Verify* Advancement opportunities may include:

- Employee education and cost share
- Education leave
- Internal advancement policy vs. external hires
- In-house education and training
- Other (describe)

3.14-b1 SUPPLIER measures and records performance, e.g., employee participation rates, dollar investment, etc.

Possible Score 5

Points Earned _____

Memo: _____

3.15-a1 SUPPLIER provides rewards/incentives for innovation and improvement.

Possible Score 20

Points Earned _____

Memo: _____

3.15-a1 *Verify* Rewards/incentives may include:

Profit sharing with employees/trade partners

Incentive bonus for employees

Quality bonus to suppliers

Safety incentives

Other (describe)

3.15-b1 SUPPLIER measures and reports performance, e.g., profit sharing results.

Possible Score 5

Points Earned _____

Memo: _____

3.15 c1 Supplier implements socially responsible employee practices.

Possible Score 20

Points Earned _____

Memo: _____

3.15-c1 *Verify* Implemented practices may include:

Follows child labor laws

Meals provided for labor

Housing provided for labor

Transportation provided to labor

Safety program

Retirement program

Insurance plan

Profit-sharing plan

Other

3.16-a SUPPLIER has a written sustainability plan addressing company operations.

Possible Score 50

Points Earned _____

Memo: _____

3.16-a *Verify* Goals for improving sustainability indicators may include:

- Logistics
- Purchasing Practices
- Packaging
- Sensitive area/biodiversity protection
- Environmental emergency management

3.17-a SUPPLIER communicates improvements in stewardship indicators.

Possible Score 30
Points Earned _____

Memo: _____

3.17-a *Verify* Improvements in stewardship indicators and communication methods may include:

- Sensitive area/biodiversity protection
- Websites
- Soil erosion control/soil health improvement
- Water, energy use efficiency
- Reuse/recycling
- Employee/trade partner relations
- Employee communications
- Annual performance report
- Shareholder communications
- Other (describe)