

**CONFIDENTIAL**



**Sysco SUSTAINABLE/  
INTEGRATED PEST MANAGEMENT INITIATIVE**

**Improving Stewardship in  
Canned and Frozen Fruit and Vegetable Production**

**Sysco SUSTAINABLE/IPM AUDIT v09.02  
PSI PROCESSING FACILITIES**

**Revised 09/19/2016**

**Prepared for Sysco by:**

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**Audit Information**

**Auditor Name, Title, Company** \_\_\_\_\_

**Start Date and Time** mm/dd/yyyy hh:mm am/pm \_\_\_\_\_

**End Date and Time** mm/dd/yyyy hh:mm am/pm \_\_\_\_\_

**Foreman or Contact Person (and email)** \_\_\_\_\_

**City** \_\_\_\_\_

**State/Province** \_\_\_\_\_

**Country** \_\_\_\_\_

**Audit Summary** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Crop:**

**Potato**

Number of years supplier has produced this crop for Sysco: \_\_\_\_\_

Total number of acres of this crop produced: \_\_\_\_\_

States/Provinces where grown: \_\_\_\_\_

Crop represents what percent of production for Sysco: \_\_\_\_\_

**Entities**

**Supplier to Sysco**

Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City,State,Zip: \_\_\_\_\_

**Processing Plant (If different from above.)**

Name: \_\_\_\_\_

Street Address: \_\_\_\_\_

City,State,Zip: \_\_\_\_\_

**Sub-Supplier Potato Sustainability Initiative Audit Results**

PSI Audit Score (maximum point value of 1425): \_\_\_\_\_

## Categories

### Minimum standards

2.02 Genetically modified fruit and vegetable crops are not grown, unless permission has been requested by the supplier and granted in writing by Sysco Quality Assurance management. The use of genetic engineering with respect to certain raw materials including corn, soybeans and rice is widespread, and these products are accepted by Sysco Corporation. Products distributed by Sysco comply with all applicable federal and state laws and labeling regulations regarding genetically engineered food products.

Pass Fail

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2.02 *Verify* Auditor viewed the following documents:

- Supplier written policy statement
- Supplier written requirement to sub-suppliers
- Seed supplier(s) written certification
- Seed purchase records for randomly selected locations
- Supplier product testing records for GMO content, if any

2.03-a1 Has the SUPPLIER been cited for violations since the previous audit or within the last two years if they are a new supplier? If yes, explain in detail including current status in memo. (Informational only, does not affect score.)

Yes No

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

2.03-a1 *Verify* SUPPLIER violations may pertain to:

- Air pollution
- Wastewater disposal
- Water contamination
- Noise complaints
- Waste disposal
- Employee welfare and safety
- Other (describe)
- None

### Scored General Standards

3.01-a1 A map or list identifies environmentally sensitive areas at the PROCESSING PLANT.

Possible Score 10

Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.01-a1 *Verify* FOR FULL POINTS, ALL environmentally sensitive areas at or immediately adjacent to the PROCESSING PLANT must be identified. Specify sensitive areas identified at the PROCESSING PLANT:

- Aquifers
- Surface water bodies
- Wetlands
- Wellheads
- Endangered/threatened species habitat
- Chemical storage
- Storm drains
- Fuel tanks
- On site dwellings
- Pollinator habitat
- Other (describe)
- None

3.01-c1 Adequate measures are in place to protect sensitive areas at the PROCESSING PLANT.

Possible Score 20  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.01-c1 *Verify* Measures at the PROCESSING PLANT to protect sensitive areas include:

- Undeveloped reserves Filter strips
- Signage
- Fencing
- Buffers, details (e.g. size)
- Invasive plant removal
- Locked areas
- Adequate containment
- Other (describe)

3.01-d Sensitive areas at the PROCESSING PLANT are visually monitored at least annually. Status and corrective actions taken are recorded in writing. Note frequency of monitoring in memo.

Possible Score 10  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.01-e Quantitative measures of the quality of sensitive areas at the PROCESSING PLANT are made and recorded, and improved over time.

Possible Score 10

Points Earned \_\_\_\_\_

Memo:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.01-e *Verify* Identify quantitative measures:

Species present

Water quality measures

Incoming irrigation or processing water testing

Outgoing runoff or wastewater testing

Other (describe)

3.03-a1 SUPPLIER has emergency contact information and staff procedures readily available in the event of possible emergencies.

Possible Score 20

Points Earned \_\_\_\_\_

Memo:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.03-b1 SUPPLIER emergency management plans are written and readily accessible.

Possible Score 20

Points Earned \_\_\_\_\_

Memo:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.03-b1 *Verify* FOR FULL POINTS, SUPPLIER emergency management plans must have at least the following elements:

Potential emergencies and response Staff roles and responsibilities

Training protocol for staff

Resources for control/contain/cleanup

Emergency contacts

Other (describe)

3.03-c1 SUPPLIER emergency management plans address potential emergencies.

Possible Score 10

Points Earned \_\_\_\_\_

Memo:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.03-c1 *Verify* FOR FULL POINTS, SUPPLIER emergency management plans must address at least the first four emergencies below:

- Fuel spills
- Chemical spills
- Worker exposure
- Fire
- Other (describe)

3.03-d SUPPLIER or SUB-SUPPLIERS have experienced an environmental emergency since the previous audit or within three years if new supplier. If yes, describe the emergency and response in memo. (Informational only, does not affect score.)

Possible Score 0  
Yes No

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.04-d Have SUPPLIER or SUB-SUPPLIERS been cited for off-target application of agrochemicals since the previous audit, or within the last three years if a new supplier? If yes, describe situation and response in memo. (Informational only, does not affect score.)

Possible Score 0  
Yes No

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.05-a1 Visibly eroded areas around PROCESSING PLANT sites are not present or extremely limited in size and number with corrective measures in place.

Possible Score 20  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.05-b1 Protective measures are in place at the PROCESSING PLANT where the threat of soil erosion exists from wind or water.

Possible Score 20  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.05-b1 *Verify* Protective measures at the PROCESSING PLANT include:

- Terraces
- Mulches
- Manage drainage Buffer or filter strips
- Established vegetation
- Other (describe)

3.07-a1 SUPPLIER does not burn trash.  
Possible Score 20  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.07-a1 *Verify* SUPPLIER verification:

Burn piles are not used to dispose of debris (garbage, broken pallets) at processing plants or production sites  
Burning vegetation is limited to crops where it is an accepted  
Best Management Practice (BMP), e.g., Extension-recommended burning of infected plant material to reduce disease  
inoculum. Describe BMP in memo.

3.08-a SUPPLIER has formed a multidisciplinary IPM advisory team. (Only one team is required per supplier regardless of the number  
of crops produced.)

Possible Score 50  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.08-a *Verify* FOR FULL POINTS, team must include the following:

Insect pest management expertise  
Disease management expertise  
Weed management expertise  
Agronomy/horticulture expertise  
Representative from Extension, NRCS, Soil & Water Conservation District (optional)

3.08-b Sustainable Ag/IPM team meets at least annually to review performance and identify and plan improvements. Note meeting  
frequency and date of last meeting in memo.

Possible Score 50  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.09-a SUPPLIER implements measures to improve PROCESSING WATER use efficiency.

Possible Score 20  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.09-a *Verify* Implemented measures may include:

- Upgrading processing equipment (describe)
- Water reuse
- Water per unit of production
- Monitor established water budget for plant
- Low flow nozzles
- Other (describe)

3.09-c1 SUPPLIER measures and reports performance, e.g., processing water use per unit of production. Describe in memo.

Possible Score 10  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.10-a1. SUPPLIER implements measures to reduce PROCESSING PLANT energy use.

Possible Score 20  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.10-a1 *Verify* Implemented measures may include:

- Improving energy efficiency of processing equipment
- Undergoing an energy use audit and implementing recommendations
- Electrical submetering to track energy use by areas of a facility
- Other (describe)

3.10-b1 SUPPLIER implements measures to reduce PROCESSING PLANT use of non-renewable energy.

Possible Score 20  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.10-b1 *Verify* Implemented measures may include:

- Transition to bio-diesel
- Transition to ethanol
- Transition to wind energy
- Transition to solar energy
- Purchase of wind/solar energy
- Carbon credit
- NOx credit
- Other (describe)



3.10-c1 SUPPLIER measures and report performance, e.g., processing plant fuel use per unit of production or transition to renewable fuels. Describe in memo.

Possible Score 5

Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.11-a1 SUPPLIER reuses resources onsite.

Possible Score 20

Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.11-a1 *Verify* FOR FULL POINTS, SUPPLIER should reuse all resources available for reuse. These may include:

Processing waste is land-spread or fed to animals

Processing water is reused for irrigation

Other (describe)

3.11-b1 SUPPLIER measures and records performance, e.g., types/amounts of on-site reuse of renewables. Describe in memo.

Possible Score 5

Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.12-a1 SUPPLIER recycles materials.

Possible Score 20

Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.12-a1 *Verify* FOR FULL POINTS, SUPPLIER should recycle all recyclable resources. These may include:

Glass Metal Paper Plastics

Batteries

Computers

Pesticide containers

Other (describe)

3.12-b1 SUPPLIER measures and records performance, e.g., types/amounts recycled. Describe in memo.

Possible Score 5

Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.12-c1 SUPPLIER purchases product with recycled content and/or reduces waste.

Possible Score 10

Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.12-c1 *Verify* Implemented purchases may include:

- Recycled card board
- Recycled office paper
- Recycled pallets
- Recycled building supplies
- Other

3.13-b Has SUPPLIER been involved in significant disputes with customers, trade partners or employees (e.g., strikes, litigation) since the previous audit or within the previous three years if a new supplier? If yes, describe in memo. (Informational only, does not affect score.)

Possible Score 0

Yes No

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.13-c Are any significant disputes open or unresolved? If yes, please explain in memo. (Informational only, does not affect score.)

Possible Score 0

Yes No

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.14-a1 SUPPLIER provides opportunities for employee advancement.

Possible Score 20

Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.14-a1 *Verify* Advancement opportunities may include:

- Employee education and cost share
- Education leave
- Internal advancement policy vs. external hires
- In-house education and training
- Other (describe)

3.14-b1 SUPPLIER measures and records performance, e.g., employee participation rates, dollar investment, etc.

Possible Score 5  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.15-a1 SUPPLIER provides rewards/incentives for innovation and improvement.

Possible Score 20  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.15-a1 *Verify* Rewards/incentives may include:

- Profit sharing with employees/trade partners
- Incentive bonus for employees
- Quality bonus to suppliers
- Safety incentives
- Other (describe)

3.15-b1 SUPPLIER measures and reports performance, e.g., profit sharing results.

Possible Score 5  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.15 c1 Supplier implements socially responsible employee practices.

Possible Score 20  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.15-c1 *Verify* Implemented practices may include:

- Follows child labor laws
- Meals provided for labor
- Housing provided for labor
- Transportation provided to labor
- Safety program
- Retirement program
- Insurance plan
- Profit-sharing plan
- Other

3.16-a SUPPLIER has a written sustainability plan addressing company operations.

Possible Score 50  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.16-a *Verify* Goals for improving sustainability indicators may include:

- Logistics
- Purchasing Practices
- Packaging
- Sensitive area/biodiversity protection
- Environmental emergency management

3.17-a SUPPLIER communicates improvements in stewardship indicators.

Possible Score 30  
Points Earned \_\_\_\_\_

Memo: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3.17-a *Verify* Improvements in stewardship indicators and communication methods may include:

- Sensitive area/biodiversity protection
- Websites
- Soil erosion control/soil health improvement
- Water, energy use efficiency
- Reuse/recycling
- Employee/trade partner relations
- Employee communications
- Annual performance report
- Shareholder communications
- Other (describe)